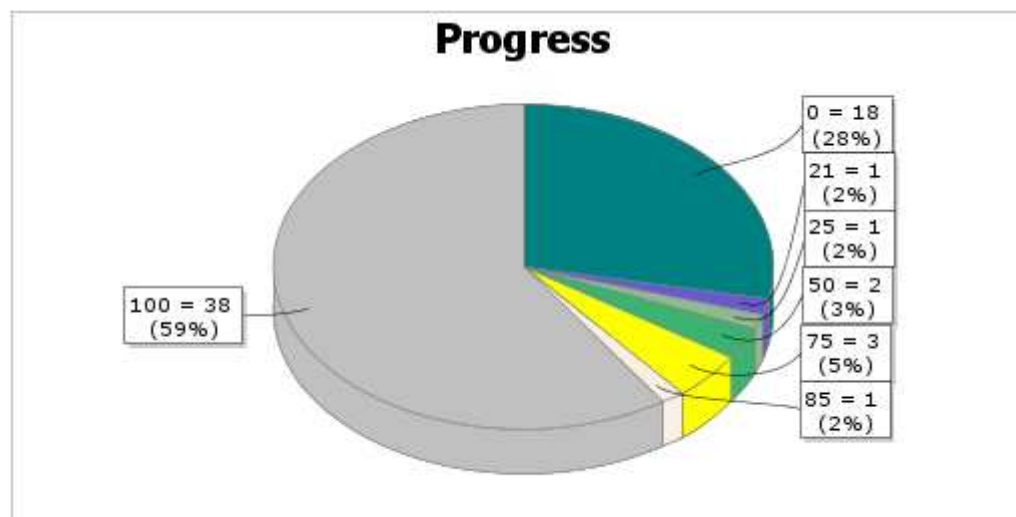






































Implementation of Agreed Management Actions Quarter 3






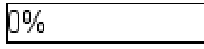



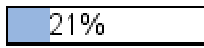

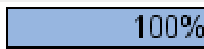

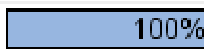

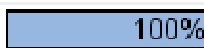















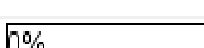





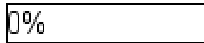






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Audit Recommendation Code & Title	Audit Recommendation Status Icon	Audit Recommendation Priority	Audit Recommendation Progress Bar
1213 A&L 1.1 Contract	✓	High Priority	100%
1213 A&L 1.2 Contract Monitoring	✓	High Priority	75%
1213 A&L 2.1a Legionella Risk Assessments	✓	High Priority	75%
1213 A&L 2.2 Contract Monitoring	✓	High Priority	85%
1213 A&L 3.2a Invoice Checks	✓	High Priority	100%
1213 H&S 1.1 Health and safety risks	✓	High Priority	100%
1213 H&S 2.1 Health and safety legislation and codes of practice	✓	High Priority	100%

Audit Recommendation Code & Title	Audit Recommendation Status Icon	Audit Recommendation Priority	Audit Recommendation Progress Bar
1213 H&S 3.1 Health and safety risk assessments		High Priority	<div style="width: 100%;"><div style="width: 100%; background-color: #4f81bd; height: 15px;"></div></div> 100%
1213 H&S 4.1 Health and safety policy		High Priority	<div style="width: 50%;"><div style="width: 50%; background-color: #4f81bd; height: 15px;"></div></div> 50%
1213 H&S 4.3 New policy development		High Priority	<div style="width: 0%;"><div style="width: 0%; background-color: #4f81bd; height: 15px;"></div></div> 0%
1213 H&S 5.2 Fire equipment checks		High Priority	<div style="width: 100%;"><div style="width: 100%; background-color: #4f81bd; height: 15px;"></div></div> 100%
1213 H&S 5.3 Health and safety issues		High Priority	<div style="width: 100%;"><div style="width: 100%; background-color: #4f81bd; height: 15px;"></div></div> 100%
1213 H&S 5.4 Accident forms		High Priority	<div style="width: 100%;"><div style="width: 100%; background-color: #4f81bd; height: 15px;"></div></div> 100%
1213 H&S 5.6 Training Programmes		High Priority	<div style="width: 100%;"><div style="width: 100%; background-color: #4f81bd; height: 15px;"></div></div> 100%
1213 H&S 5.7 Value for Money		High Priority	<div style="width: 0%;"><div style="width: 0%; background-color: #4f81bd; height: 15px;"></div></div> 0%
1213 OSFC 2.1 Contracts		High Priority	<div style="width: 25%;"><div style="width: 25%; background-color: #4f81bd; height: 15px;"></div></div> 25%
1213 OSFC 2.2 Contracts – Pertemps		High Priority	<div style="width: 0%;"><div style="width: 0%; background-color: #4f81bd; height: 15px;"></div></div> 0%
1213 OSFC 3.1 Quotations		High Priority	<div style="width: 100%;"><div style="width: 100%; background-color: #4f81bd; height: 15px;"></div></div> 100%
1213 SC&VA 1.1 Safeguarding policy acceptance		High Priority	<div style="width: 0%;"><div style="width: 0%; background-color: #4f81bd; height: 15px;"></div></div> 0%
1213 SC&VA 1.2 Netconsent		High Priority	<div style="width: 0%;"><div style="width: 0%; background-color: #4f81bd; height: 15px;"></div></div> 0%
1213 SC&VA 1.4 Use of CRM system		High Priority	<div style="width: 0%;"><div style="width: 0%; background-color: #4f81bd; height: 15px;"></div></div> 0%
1213 SC&VA 1.5 GCSx		High Priority	<div style="width: 0%;"><div style="width: 0%; background-color: #4f81bd; height: 15px;"></div></div> 0%
1213 SC&VA 1.6 CRM System		High Priority	<div style="width: 0%;"><div style="width: 0%; background-color: #4f81bd; height: 15px;"></div></div> 0%
1213 SC&VA 4.1 Volunteer Policy		High Priority	<div style="width: 100%;"><div style="width: 100%; background-color: #4f81bd; height: 15px;"></div></div> 100%
1213 SC&VA 4.2 CRB Checks		High Priority	<div style="width: 100%;"><div style="width: 100%; background-color: #4f81bd; height: 15px;"></div></div> 100%
1213 SC&VA 4.3 Renewal of CRB disclosures		High Priority	<div style="width: 100%;"><div style="width: 100%; background-color: #4f81bd; height: 15px;"></div></div> 100%

Audit Recommendation Code & Title	Audit Recommendation Status Icon	Audit Recommendation Priority	Audit Recommendation Progress Bar
1213 SC&VA 5.1 Recruitment Process		High Priority	<div style="width: 100%;"><div style="width: 100%; background-color: #4f81bd; color: white; text-align: center;">100%</div></div>
1213 SC&VA 6.3 Training for new starters		High Priority	<div style="width: 100%;"><div style="width: 100%; background-color: #4f81bd; color: white; text-align: center;">100%</div></div>
1314 RIPA 1.1 Training		High Priority	<div style="width: 100%;"><div style="width: 0%; background-color: #4f81bd; color: white; text-align: center;">0%</div></div>
1314 RIPA 1.2 Officer Training		High Priority	<div style="width: 100%;"><div style="width: 0%; background-color: #4f81bd; color: white; text-align: center;">0%</div></div>
1314 RIPA 2.2 Identification of court representatives		High Priority	<div style="width: 100%;"><div style="width: 0%; background-color: #4f81bd; color: white; text-align: center;">0%</div></div>
1314 RIPA 3.1 Policy		High Priority	<div style="width: 100%;"><div style="width: 0%; background-color: #4f81bd; color: white; text-align: center;">0%</div></div>
1314 RIPA 3.1 Policy approval		High Priority	<div style="width: 100%;"><div style="width: 100%; background-color: #4f81bd; color: white; text-align: center;">100%</div></div>
1314 St&Dec 1.1 Guidance Notes		High Priority	<div style="width: 100%;"><div style="width: 100%; background-color: #4f81bd; color: white; text-align: center;">100%</div></div>
1314 St&Dec 1.2 Completion of Declaration of Interests		High Priority	<div style="width: 100%;"><div style="width: 100%; background-color: #4f81bd; color: white; text-align: center;">100%</div></div>
1314 St&Dec 1.3 New member declarations		High Priority	<div style="width: 100%;"><div style="width: 100%; background-color: #4f81bd; color: white; text-align: center;">100%</div></div>
1314 St&Dec 2.2a Retention of Documentation		High Priority	<div style="width: 100%;"><div style="width: 50%; background-color: #4f81bd; color: white; text-align: center;">50%</div></div>
1314 St&Dec 2.3a Appointment of the independent person		High Priority	<div style="width: 100%;"><div style="width: 0%; background-color: #4f81bd; color: white; text-align: center;">0%</div></div>
1213 A&L 2.1b Legionella Risk Assessments		Medium Priority	<div style="width: 100%;"><div style="width: 75%; background-color: #4f81bd; color: white; text-align: center;">75%</div></div>
1213 A&L 2.3 Legionella Testing		Medium Priority	<div style="width: 100%;"><div style="width: 100%; background-color: #4f81bd; color: white; text-align: center;">100%</div></div>
1213 A&L 3.1 Regular Discussions with Contractor		Medium Priority	<div style="width: 100%;"><div style="width: 100%; background-color: #4f81bd; color: white; text-align: center;">100%</div></div>
1213 A&L 3.2b Invoice Payments		Medium Priority	<div style="width: 100%;"><div style="width: 100%; background-color: #4f81bd; color: white; text-align: center;">100%</div></div>
1213 A&L 3.3 Asbestos and Legionella		Medium Priority	<div style="width: 100%;"><div style="width: 0%; background-color: #4f81bd; color: white; text-align: center;">0%</div></div>

Audit Recommendation Code & Title	Audit Recommendation Status Icon	Audit Recommendation Priority	Audit Recommendation Progress Bar
Policy			
1213 A&L 3.4 Database		Medium Priority	
1213 A&L 3.5 Information Readily Available		Medium Priority	
1213 H&S 4.2 Health and safety policy issue		Medium Priority	
1213 H&S 5.1 Training		Medium Priority	
1213 H&S 5.5 Action Programmes		Medium Priority	
1213 H&S 5.8 Training		Medium Priority	
1213 OSFC 1.1 Ordering and Goods Receipting		Medium Priority	
1213 OSFC 1.2 Addressing Invoices		Medium Priority	
1213 OSFC 2.3 Coding		Medium Priority	
1213 SC&VA 1.3 Department C&VA Officers		Medium Priority	
1213 SC&VA 1.7 Filing		Medium Priority	
1213 SC&VA 6.1 Training Requirements		Medium Priority	
1213 SC&VA 6.2 Training Records		Medium Priority	
1314 RIPA 2.1 HMCTS Contact		Medium Priority	
1314 St&Dec 1.4a Gifts & Hospitality declarations		Medium Priority	
1314 St&Dec 1.4b Gifts & Hospitality reminders.		Medium Priority	

Audit Recommendation Code & Title	Audit Recommendation Status Icon	Audit Recommendation Priority	Audit Recommendation Progress Bar
1314 St&Dec 2.1 Arrangements for dealing with standards allegations under the Localism Act 2011		Medium Priority	
1314 St&Dec 2.2b Document location		Medium Priority	
1314 St&Dec 2.3b Role Description		Medium Priority	
1314 St&Dec 3.1 Website information		Medium Priority	
1314 St&Dec 3.2 Declarations of interest		Medium Priority	

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